For Internal Use Only Sec File No. 9-

Submit 1 Original and 9 Copies

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

OMB Number: 3235-0504
Expires: July 31, 2016
Estimated average burden
hours per response. . . . 3.60

## FORM 19b-4(e)

Washington, D.C. 20549

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

Initial Listing Report  Name of Self-Regulatory Organization Listing New Derivative Securities Product: Bats BYX Exchange, Inc.  Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): See Attached.  Class of New Derivative Securities Product See Attached.  Name of Underlying Instrument: See Attached.  If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Dascu. See Attached.  Market or Markets Upon Which Securities Product: See Attached.  Market or Markets Upon Which Securities Product: Trades: See Attached.  Settlement Methodology of New Derivative Securities Product: Trades: See Attached.  Position Limits of New Derivative Securities Product (if applicable): Not Applicable.  Execution  The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.  Name of Official Responsible for Form:  Anders Franzon  Public Availability: JUL 2 0 2017  Title: SVP, Associate General Counsel  Manual Signature of Official Responsible for Form:		READ ALL INSTRUCTIONS PI	RIOR TO COMPLETING FORMMail Processing			
Name of Self-Regulatory Organization Listing New Derivative Securities Product: Bats BYX Exchange, Inc.  Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): See Attached.  Class of New Derivative Securities Product See Attached.  Name of Underlying Instrument: See Attached.  If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Dascu. See Attached.  Ticker Symbol(s) of New Derivative Securities Product: See Attached.  Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See Attached.  Settlement Methodology of New Derivative Securities Product: Trades Locked in at Exchange and settled at NSCC.  Position Limits of New Derivative Securities Product (if applicable): Not Applicable.  Part II  Execution  The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced derivative securities product according to its relevant trading males, procedures, surveillance programs and listing standards.  Name of Official Responsible for Form:  Anders Franzon  Anders Franzon  Public Availability: JUL 2 0 2017  Telephone Number:  913-815-7154  Manual Signature of Official Responsible for Form:			Section			
Bats BYX Exchange, Inc.  Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): See Attached.  Class of New Derivative Securities Product See Attached.  Name of Underlying Instrument: See Attached.  If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Dascu. See Attached.  Ticker Symbol(s) of New Derivative Securities Product: See Attached.  Market or Markets Upon Which Securities Product: See Attached.  Settlement Methodology of New Derivative Securities Product: Trades: See Attached.  Position Limits of New Derivative Securities Product (if applicable): Not Applicable.  Part II  Execution  Execution  Execution  The undersigned represents that the governing body of the above-referenced derivative securities product according to its relevant trading and trading of the above-referenced derivative securities product according to its relevant trading standards.  Name of Official Responsible for Form: Anders Franzon  Title: SVP, Associate General Counsel  Manual Signature of Official Responsible for Form:  Manual Signature of Official Responsible for Form:	Part I	Initial List	ting Report JUL 20 2017			
Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): See Attached. Class of New Derivative Securities Product See Attached. Name of Underlying Instrument: See Attached. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Dascu. See Attached. Ticker Symbol(s) of New Derivative Securities Product: See Attached. Market or Markets Upon Which Securities Product: Trades: See Attached. Settlement Methodology of New Derivative Securities Product: Trades: Locked in at Exchange and settled at NSCC. Position Limits of New Derivative Securities Product (if applicable): Not Applicable.  Execution  The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced derivative securities product according to its relevant trading rules procedures, surveillance programs and listing standards.  Name of Official Responsible for Form: Anders Franzon  Title: SVP, Associate General Counsel  Manual Signature of Official Responsible for Form:  Manual Signature of Official Responsible for Form:	1.		erivative Securities Product: Washington DC			
See Attached.  1. Name of Underlying Instrument: See Attached.  3. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Dascu. See Attached.  4. Ticker Symbol(s) of New Derivative Securities Product: See Attached.  5. Ticker Symbol(s) of New Derivative Securities Product: See Attached.  6. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See Attached.  8. Settlement Methodology of New Derivative Securities Product: Trades Locked in at Exchange and settled at NSCC.  9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable.  Part II  Execution  The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced derivative securities product according to its relevant trading standards.  Name of Official Responsible for Form:  Anders Franzon  Title: SVP, Associate General Counsel  Telephone Number: 913-815-7154  Manual Signature of Official Responsible for Form:	2.	Type of Issuer of New Derivative Securities Product (e	e.g., clearinghouse, broker-dealer, corporation, etc.):			
See Attached.  If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based.  See Attached.  Ticker Symbol(s) of New Derivative Securities Product: See Attached.  Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See Attached.  Settlement Methodology of New Derivative Securities Product: Trades Locked in at Exchange and settled at NSCC.  Position Limits of New Derivative Securities Product (if applicable): Not Applicable.  Execution  The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced derivative securities product according to its relevant trading standards.  Name of Official Responsible for Form: Anders Franzon  Title: SVP, Associate General Counsel  Manual Signature of Official Responsible for Form:  913-815-7154  Manual Signature of Official Responsible for Form:	3.		23			
See Attached.  Ticker Symbol(s) of New Derivative Securities Product: See Attached.  Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See Attached.  Settlement Methodology of New Derivative Securities Product: Trades Locked in at Exchange and settled at NSCC.  Position Limits of New Derivative Securities Product (if applicable): Not Applicable.  Part II  Execution  The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.  Name of Official Responsible for Form:  Anders Franzon  Public  Availability: JUL 2 0 2017  Telephone Number:  913-815-7154  Manual Signature of Official Responsible for Form:	4.	See Attached.	traded brown			
See Attached.  7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See Attached.  8. Settlement Methodology of New Derivative Securities Product: Trades Locked in at Exchange and settled at NSCC.  9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable.  Part II  Execution  The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.  Name of Official Responsible for Form:  Anders Franzon  Title:  SVP, Associate General Counsel  Telephone Number:  913-815-7154  Manual Signature of Official Responsible for Form:	5.	See Attached.	Marine Committee of the			
Trades: See Attached.  Settlement Methodology of New Derivative Securities Product: Trades Locked in at Exchange and settled at NSCC.  Position Limits of New Derivative Securities Product (if applicable): Not Applicable.  Part II  Execution  The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced derivative securities product according to its relevant trading standards.  Name of Official Responsible for Form:  Anders Franzon  Title:  SVP, Associate General Counsel  Manual Signature of Official Responsible for Form:  913-815-7154  Manual Signature of Official Responsible for Form:	6.	See Attached.	- 75			
Trades Locked in at Exchange and settled at NSCC.  Position Limits of New Derivative Securities Product (if applicable): Not Applicable.  Part II  Execution  The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced derivative securities product according to its relevant trading standards.  Name of Official Responsible for Form:  Anders Franzon  Title:  SVP, Associate General Counsel  Telephone Number:  913-815-7154  Manual Signature of Official Responsible for Form:  Manual Signature of Official Responsible for Form:	7.					
Not Applicable.  Part II  Execution  The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.  Name of Official Responsible for Form:  Anders Franzon  Title:  SVP, Associate General Counsel  Telephone Number:  913-815-7154  Manual Signature of Official Responsible for Form:  When the interpretation of the above-referenced Self-Regulatory Organization has duly approved to the undersigned for, the listing and trading of the above-referenced for, the listing and trading of the above-referenced self-Regulatory Organization has duly approved to the undersigned for, the listing and trading of the above-referenced self-Regulatory Organization has duly approved to the undersigned for, the listing and trading of the above-referenced self-Regulatory Organization has duly approved to the undersigned for, the listing and trading of the above-referenced self-Regulatory Organization has duly approved to the undersigned for, the listing and trading of the above-referenced derivative securities product according to the undersigned for, the listing and trading of the above-referenced self-Regulatory Organization has duly approved to the undersigned for, the listing and trading of the above-referenced self-Regulatory Organization has duly approved to the undersigned for, the listing and trading of the above-referenced self-Regulatory Organization has duly approved to the undersigned for, the listing and trading of the above-referenced self-Regulatory Organization has duly approved to the undersigned for, the listing and trading of the above-referenced self-Regulatory Organization has duly approved to the undersigned for the listing and trading of the above-referenced self-Regulatory Organization has duly approved to the undersigned f	8.	Settlement Methodology of New Derivative Securities Product:				
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.  Name of Official Responsible for Form:  Anders Franzon  Title:  SVP, Associate General Counsel  Telephone Number:  913-815-7154  Manual Signature of Official Responsible for Form:	9.	Position Limits of New Derivative Securities Product	(if applicable):			
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced derivative securities product according to its relevant trading standards.  Name of Official Responsible for Form:  Anders Franzon  Title:  SVP, Associate General Counsel  Telephone Number:  913-815-7154  Manual Signature of Official Responsible for Form:		Not Applicable.				
approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced derivative securities product according to its relevant trading standards.  Name of Official Responsible for Form:  Anders Franzon  Title:  SVP, Associate General Counsel  Telephone Number:  913-815-7154  Manual Signature of Official Responsible for Form:	Part I	Exe	ecution			
Anders Franzon  Public Availability: JUL 2 0 2017  Telephone Number: 913-815-7154  Manual Signature of Official Responsible for Form:	Name	approved, or has duly delegated its approval to the und derivative securities product according to its relevant t standards.	dersigned for, the listing and trading of the above-referenced trading rules, procedures, surveillance programs and listing  Section 1954			
Telephone Number: 913-815-7154  Manual Signature of Official Responsible for Form:		Anders Franzon	Mile			
913-815-7154  Manual Signature of Official Responsible for Form:	Title:	SVP, Associate General Counsel				
	Teleph	one Number: 913-815-7154				
Date:	Manua	al Signature of Official Responsible for Form:	and the second			
	Date:					

## ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS BYX EXCHANGE, INC. COMMENCING ON JULY 13, 2017

	<del> </del>		VIIVIENOUTO ON BOET 10, 2017	(7) Market(s) upon	
(0)	(0) To of			Which Securities	(5) Broad
(6)	(2) Type of		(4) Name of Underlying	Comprising Underlying	or
<u>Ticker</u>	Issuer of	(2) Class of NDSD	Instrument	Instrument Trades	Narrow
Symbol	NDSP	(3) Class of NDSP	mstrument	mstrument mades	<u>IVAITOW</u>
				, , , , , , , , , , , , , , , , , , ,	
Ì		Investment Company			
IBD	Trust	Units	Index	U.S.	Broad
		Investment Company	OFI Revenue Weighted	. *	
REEM	Trust	Units	Emerging Markets Index	World	Broad
			•		
		Investment Company	OFI Revenue Weighted Global		Drood
RGLB	Trust	Units	Index	Global	Broad
1					
		Investment Company	OFI Revenue Weighted		
REFA	Trust	Units	International Index	Global -	Broad
KLIA	11400	00			
		Investment Company	BlackRock High Yield		
HYDB	Trust	Units	Defensive Bond Index	Global	Broad
	!	1	N		
LOED	T4	Investment Company Units	BlackRock Investment Grade Enhanced Bond Index	Global	Broad
IGEB	Trust	Units	Ellianced Bolid Index	Giobai	Dioda
		Investment Company	S&P 500 Minimum Volatility		
SPMV	Trust	Units	Index	U.S.	Broad
ŀ					
		Investment Company		110	Droad
USEQ	Trust	Units	Value Equal Weight Index	U.S.	Broad



PECEIVED
2017 JUL 20 PM 12: 55
SEC / TM

SEC Mail Processing Section

Washington DC 412

July 19, 2017

Ms. Claudette Ransom Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Form 19b-4(e) – Bats BYX Exchange, Inc.

Ms. Ransom,

On behalf of Bats BYX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding eight (8) derivative securities products that commenced trading on Bats BYX Exchange on July 13, 2017. Please contact me if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

SVP, Associate General Counsel

913.815.7154

RECEIVED
2017 JUL 20 PM 12: 50
SEC / TM